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## FACSIMILE COVER SHEET

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|--|---|---------------|---------------------|
| <b>Date:</b>   | <b>August 25, 2004</b>                      | <b>Fax:</b>   | <b>703-872-9306</b> |
| <b>To:</b>   | <b>U.S. Patent and Trademark Office</b>     | <b>Phone:</b> |                     |
| <b>Commissioner for Patents<br/>P. O. Box 1450<br/>Alexandria, VA 22313-1450</b> |   |               |                     |
| <b>From:</b>   | <b>Rachel V. Leiterman</b>                  |               |                     |
| <b>Serial No.:</b>   | <b>09/880,204</b>                           |               |                     |
| <b>Docket:</b>   | <b>M-8633-1P US<br/>(LUM- M-8633-1P US)</b> |               |                     |
| <b>Re:</b>   | <b>Information Disclosure Statement</b>     |               |                     |
| <b>Pages:</b>  | <b>6 (including cover sheet)</b>            |               |                     |

### Message:

Re: Applicant(s): Michael D. Camras; Michael R. Krames; Wayne L. Snyder; Frank M. Steranka; Robert C. Taber; John J. Uebbing; Douglas W. Pocius; Troy A. Trottier; Christopher H. Lowery; Gerd O. Mueller; Regina B. Mueller-Mach

Assignee: Lumileds Lighting U.S. LLC

Title: Light Emitting Diodes With Improved Light Extraction Efficiency

Serial No.: 09/880,204

Examiner: Remmon R. Fordé

Docket No.: M-8633-1P US (LUM- M-8633-1P US)

Filed: June 12, 2001

Group Art Unit: 2826

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Transmittal Letter (1 page in duplicate);
- (2) Information Disclosure Statement (2 pages);
- (3) Form PTO/SB/08A citing 2 references (1 page);
- (4) Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

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Brian D. Ogonowsky  
 Carmen C. Cook  
 David C. Hsiao  
 Rachel V. Leiterman

August 25, 2004

Commissioner for Patents  
 P. O. Box 1450  
 Alexandria, VA 22313-1450

Re: Applicant(s): Michael D. Camras; Michael R. Krames; Wayne L. Snyder; Frank M. Steranka;  
 Robert C. Taber; John J. Uebbing; Douglas W. Pocius; Troy A. Trotter;  
 Christopher H. Lowery; Gerd O. Mueller; Regina B. Mueller-Mach  
 Assignee: Lumileds Lighting U.S., LLC  
 Title: Light Emitting Diodes With Improved Light Extraction Efficiency  
 Serial No.: 09/880,204  
 Examiner: Remmon R. Fordé Filed: June 12, 2001  
 Docket No.: M-8633-1P US Group Art Unit: 2826  
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| <i>R. Leiterman</i><br>Signature   | 8/25/04<br>Date |

Respectfully submitted,

*R. Leiterman*  
 Rachel V. Leiterman  
 Attorney for Applicant(s)  
 Reg. No. 46,868

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael D. Camras; Michael R. Krames; Wayne L. Snyder; Frank M. Steranka; Robert C. Taber; John J. Uebbing; Douglas W. Pocius; Troy A. Trottier; Christopher H. Lowery; Gerd O. Mueller; Regina B. Mueller-Mach

Assignee: Lumileds Lighting U.S. LLC

Title: Light Emitting Diodes With Improved Light Extraction Efficiency

Serial No.: 09/880,204 Filing Date: June 12, 2001

Examiner: Remmon R. Fordé Group Art Unit: 2826

Docket No.: M-8633-1P US  
(LUM-M-8633-1P US)

San Jose, California  
August 25, 2004

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER CFR § 1.97(c) WITH FEE**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO/SB/08A are called to the attention of the Examiner for the above patent application. Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

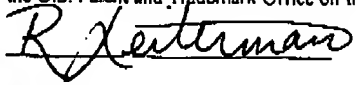
Please charge our Deposit Account No. 502226 the amount of \$180.00 for the Information Disclosure Statement fee under 37 C.F.R. § 1.17(p) as set forth in the enclosed transmittal letter.

-1-

Serial No. 09/880,204

Patent Law Group LLP  
2635 North First St.  
Suite 223  
San Jose, CA 95134  
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| Signature  | Date           |

Respectfully submitted,



Rachel V. Leiterman  
Attorney for Applicant(s)  
Reg. No. 46,868

Patent Law Group LLP  
2635 North First St.  
Suite 223  
San Jose, CA 95134  
(408) 382-0480  
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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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| Sheet | 1 | of | 1 |
|-------|---|----|---|

**Complete if Known**

|                        |                   |
|------------------------|-------------------|
| Application Number     | 09/880,204        |
| Filing Date            | June 12, 2001     |
| First Named Inventor   | Michael D. Camras |
| Art Unit               | 2826              |
| Examiner Name          | Remmon R. Fordé   |
| Attorney Docket Number | M-8633-1P US      |

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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